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https://orcid.org/0000-0003-4844-0673, Wyman, Emily, Bullinger, Anke F., Herfurth, Katharina and Tomasello, Michael (2014) Coordination strategies of chimpanzees and human children in a Stag Hunt game. Proceedings of the Royal Society B: Biological Sciences, 281 (1796).

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7	Coordination Strategies of Chimpanzees and Human Children
8	in a Stag Hunt Game
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## Abstract

19	Much of human cooperation takes place in mutualistic contexts in which the main
20	challenge for individuals is how to coordinate decisions. In the current studies we
21	compared the abilities of chimpanzees and young children to coordinate with a partner in
22	two versions of a Stag Hunt game. When risks were low (the hare was of low value) and
23	information was cheap (the partner's behaviour was readily observable) partners of both
24	species were able to successfully coordinate on the higher value stag more than 90% of the
25	time. In contrast, when the risks were raised and observing the partner was more difficult,
26	the chimpanzees became less successful, whereas the children compensated, and so
27	remained highly successful, by communicating more often and more specifically. This
28	pattern of results is consistent with the hypothesis that humans evolved unique skills of
29	coordination and communication in the context of especially risky coordination problems.
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### Introduction

Human cooperation is most often studied in the framework of 'social dilemmas' 34 such as the Prisoner's Dilemma and the Public Goods Game in which the individual must 35 pay a cost to cooperate e.g. [1];[2];[3]. But much of human cooperation is mutualistic and 36 so better described by coordination games in which cooperation benefits all, and there is 37 38 no temptation to defect [4]; [5]. A particularly realistic game is the Stag Hunt [6]. In this 39 game two hunters may each safely pursue their own low-value prey (hare) or they may 40 coordinate to pursue a higher value prey (stag). They both know that they need a partner to 41 capture the stag so that pursuing it alone means losing both options. To solve this problem the hunters need to be able to coordinate their decisions and subsequent actions so that 42 both either go for the stag (the risky, payoff dominant solution) or both go for hare (the 43 44 safe, risk dominant solution). The most straightforward way for humans to coordinate on the stag, in particular, is to communicate with each other [7]. 45

46 Humans' closest living relatives, chimpanzees (Pan troglodytes) and bonobos (Pan 47 *paniscus*), both engage in the group hunting of small mammals, mostly monkeys. The typical situation for chimpanzees (the species about whom much more is known) is that 48 49 several males coordinate their behaviours to surround and capture the prey [8] [9]. In going for the monkey, individuals forsake time and energy that they could have spent 50 51 foraging for other, lower value, food sources. Chimpanzee group hunting could thus be modelled as a Stag Hunt game. In two recent studies, Brosnan and colleagues gave pairs of 52 primate conspecifics (capuchin monkeys, Rhesus macaques, chimpanzees, and human 53 54 adults) a choice between two types of tokens (or symbols on a computer screen) in a Stag Hunt game: one represented the stag option and the other the hare option [10, 11]. In order 55 56 to standardise procedures across species minimal instructions or training was provided, and so none of the participants were aware of the pay-off structure prior to testing. Under 57 58 these conditions, all species struggled to coordinate on the high pay-off stag option (humans became successful when they were allowed to communicate with each other). 59

60 The results from these two studies suggest that coordination in the Stag Hunt game is challenging for all primates. However, chimpanzees have also demonstrated the 61 ability to coordinate actions with a partner to solve cooperative tasks in a number of 62 experimental settings e.g. [12-15]. This raises the question of whether their performance 63 would be different in a Stag Hunt game in which, unlike the studies of Brosnan et al., the 64 pay-off structure is clear to subjects. Bullinger et al. [16] presented pairs of chimpanzees 65 with a Stag Hunt game using a foraging task. Subjects were given the choice between 66 67 collecting a completely safe low value food (hare) individually or cooperating with a partner to acquire higher value food (stag). Importantly, they received training to ensure 68 69 they understood that if they attempted to acquire the high value reward alone, they would not only fail but also lose access to the low value food. Therefore, to be maximally 70 successful subjects needed to take a risk and coordinate their decisions with one another 71 72 on the stag.

The chimpanzees were highly successful in coordinating to acquire the stag, doing 73 so in 91% of trials. However, they did so with very little communication or visual 74 monitoring of their partner (communication only occurred after a subject was at the stag 75 exhorting their partner to join). Bullinger et al. [16] suggest that subjects were using a 76 77 simple 'leader-follower' strategy. Once one individual takes the risk and goes for stag, the best decision for the second individual is to follow so that they are successful on the stag. 78 79 This strategy is employed by a wide range of species encountering coordination problems. A common example is deciding on travel direction for species that travel in large groups 80 (e.g. pigeons) in which case some individuals (leaders) have disproportionate influences 81 82 on the chosen direction according to factors such as their motivation or knowledge (see [17] for a review). While the reason for the follower to abandon the hare is clear, the 83 84 mechanism of leadership in the Stag Hunt game is more difficult to discern, since leaders would seem to be taking on all the risk. Theorists such as Schelling [18] and Lewis [19] 85 have argued that the solution is mutual knowledge. If both hunters know that each of them 86 would prefer the stag and that they need a partner to succeed (i.e. they both know that they 87

## COORDINATION STRATEGIES IN A STAG HUNT

both understand the game and pay-off structure) then, once mutual knowledge of the
presence of the stag is established both hunters should abandon their hare in pursuit of the
stag. This can be accomplished by communication prior to decision-making.

Our aim was to directly compare coordination strategies used by chimpanzees and 91 humans in solving an evolutionary relevant coordination problem (the Stag Hunt). In 92 Experiment 1 we presented pairs of 4-year-old children (who conceivably have less 93 94 experience and less conventionalised solutions to such problems than adults would) with the Stag Hunt game of Bullinger et al. [16]. In a previous study, using a different Stag 95 Hunt game, 4 year olds had to decide between hare and stag without knowledge of their 96 97 partner's decision and without any verbal or gestural communication. However, in one condition the partner (as adult experimenter) made eye contact with and smiled at the child 98 prior to the decision being made, and this minimal communication was enough to increase 99 100 cooperation, presumably because it established mutual knowledge about the arrival of the stag [20]. We were interested in whether 4 year old children would solve our version of 101 102 the Stag Hunt problem by actively establishing mutual knowledge to coordinate their decisions. If this were the case we would expect that they would do so by communicating 103 with their partner prior to going for the stag. Alternatively, it may be that, in this low risk 104 105 situation, children would employ a leader-follower strategy, similar to chimpanzees.

In Experiments 2a and 2b we investigated how pairs of chimpanzees and pairs of 106 107 children, respectively, coordinated in a higher risk Stag Hunt game. Risk was increased in two ways: (1) by increasing the value of the hare in relation to the stag, so that there were 108 greater losses incurred through a failed attempt to cooperate; and (2) by reducing the 109 110 auditory and visual information participants have about their partner's decision, thus 111 rendering the leader-follower strategy ineffective. In this high risk Stag Hunt we would 112 expect individuals of both species to mitigate the risk through increases in communication and visual monitoring before going for the stag. If they are unable to do so, then we would 113 expect them to be less likely to take the risk of going for the stag. 114

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116	Experiment 1: Low Risk Stag Hunt with Children
117	In this experiment, pairs of 4 year old children participated in a low risk Stag Hunt
118	game based on Bullinger et al. [16]. During the game, children began by collecting a low
119	value food reward (hare) alone. While they were doing this a high value food reward
120	(stag) appeared, which they knew required cooperation with a partner to acquire. Children
121	therefore had to decide either to continue collecting their low-value reward, or to try to
122	obtain the high value option. The latter choice entailed the risk that if their partner did not
123	also try to cooperate, they would then lose both rewards. We recorded children's decisions
124	and their communication, with a particular focus on the use of communication prior to
125	making a decision.
126	
127	Material and Methods
128	Subjects
129	Forty children (20 girls, mean age: 50 months, range 45-56 months) were included
130	in the final sample. An additional seven children took part, but were excluded from the
131	analysis either because they failed pre-tests (see below, $N = 3$ ), or because their motivation
132	wavered during testing ( $N = 4$ ). Children were paired into 20 same-sex dyads, which
133	belonged to the same kindergarten group, or were known to play together. All testing took
134	place at kindergartens.
135	
136	Materials
137	Hare boxes. Each child was assigned their own hare box (denoted by colour and
138	location), containing low value food (sweet rice puffs) which they could retrieve alone, but
139	that required some time to do so. The front face of the box could be accessed by opening a
140	transparent door, behind which were six tubes (6cm deep) containing the hare reward. An
141	elastic hinge ensured that the door would close automatically when let go, and once the

142 door closed a magnet held it shut so children were unable to re-open it until it was re-set143 by the experimenter.

**Stag box.** The stag box contained the high value food (gummibears) and required 144 both children to work together to acquire the reward. The apparatus consisted of a large 145 wooden box (approx. 100x70x30cm) containing a sliding tray that could be set to three 146 positions (operated surreptitiously by E3, who pretended to read). When released to the 147 mid-way position the contents of the tray could be seen by the children. In this position, a 148 loud ticker sounded for 15 seconds, during which children could pull simultaneously on 149 150 ropes at either side of the box to release the tray to the final position and retrieve one 151 reward each. A bell sounded at the end of the 15 seconds, if the dyad had not retrieved the rewards, and the tray was fully retracted to the start position. The hare and stag boxes were 152 153 positioned at opposite ends of the testing room (approx. 300cm apart, see Figure 1).

Barrier. An opaque curtain (150x150cm) was positioned between the hare boxes
for barrier test trials. This barrier meant that children could not see each other while they
were both at hare, although they could look around the barrier if they repositioned
themselves, as well as see their partner if one was waiting at the stag box already.

158

159 *Design and Procedure* 

Dyads participated in two conditions (within subjects). In *no-barrier trials*, partners were able to see each other throughout the trial. In *barrier trials*, visual monitoring was restricted by the barrier so that partners could not see each other whilst were both at hare (unless they repositioned themselves). Each dyad received one block of a no-barrier trials and one block of 3 barrier trials (with order counterbalanced across dyads).

166 **Training and pre-tests.** Children were trained individually by the first 167 experimenter (E1) so that they understood the apparatuses and the relationships between 168 them. They never experienced the full test set-up prior to test trials with their partner (for 169 full details of the training see ESM). Each child then received two types of pre-test. The 170 *social pre-test* trials ensured that children were motivated to coordinate when a willing 171 partner was available, and children could see this (i.e. they preferred the stag over the hare

reward). The child started collecting the hare and E1 waited beside the stag box, so that 172 when the stag appeared, the child had to decide whether to continue collecting hare, or 173 174 abandon it and attempt to get to the stag together with E1. To pass the social pre-test children were required to go for the stag. The non-social pre-test was designed to ensure 175 that children did not attempt to retrieve the stag payoff indiscriminately, and that they 176 valued the hare enough to collect it when the stag was unavailable. Once the child had 177 begun to collect the hare, E1 made an excuse to leave the room. The stag then appeared, 178 179 and in order to pass the children had to stay at the hare. Pre-tests were presented in a block of 6 alternating trials, which was repeated if they did not pass the first block. To pass, 180 181 children needed to respond correctly in at least 2 out of 3 trials for each trial type.

**Test trials.** The dyad now played together for the first time. Each was guided to 182 her respective hare box by E1, who then left the room. The trials began when the children 183 184 had opened the door to their hare boxes and the stag appeared (accompanied by an exclamation of "Oh, wow" by E3 and the onset of the ticking sound). At this point the 185 186 children had 15 seconds to decide whether to stay on their hares or try to retrieve the stag. The trial ended once the stag had been acquired, or, if unsuccessful, until the stag was 187 removed. If they were still collecting hare at the end of the trial the children were allowed 188 189 to continue until they had emptied their hare box. Both children left the room with E1 while E3 re-set the apparatuses for the next trial. 190

191

#### 192 *Coding*

Based on the coding in Bullinger et al. (2011), we coded several aspects of participants' behaviour in order to determine a) how likely individuals were to decide to go for the stag; b) how well these decisions were coordinated with their partner's decisions; and c) the role of communication and visual monitoring of partners in successful coordination. All testing sessions were video recorded and the following variables were coded from the tapes. We coded:

**Decisions to leave hare.** For each individual on every trial we coded whether or not

200 individuals left their hare to go for stag during the trial.

**Coordination success**. Trials were coded as successful coordination when both 201 partners left hare to go for stag, as a measure of whether dyads coordinated their decisions. 202 **Communication.** Each individual on every trial was given a communication score (1 203 or 0) for the two phases of a trial a) while still at hare, and b) after leaving hare, to denote 204 whether or not they communicated during each of these phases. Communication was 205 206 defined as: verbal communication related to the objects, actions, or players in the game, 207 directed towards their partner (as indicated by either looking towards them while talking, 208 addressing them directly, or otherwise indicated by the context of the utterance). 209 **Visual monitoring**. Each individual on each trial was given a visual monitoring score (1 or 0) for each of the two phases of a test trial a) while still at hare, and b) after leaving 210 hare, to denote whether they looked in the direction of their partner's face during these 211 212 phases. **Reliability.** An independent observer who was blind to the hypotheses of the study 213 214 coded a random sample of 20% of children (4 pairs) for reliability. As some of the behaviours coded occurred infrequently we used Prevalence-Adjusted Bias-Adjusted 215 Kappa (PABAK, [21]) to determine reliability (this was the measure used for all 216 217 subsequent calculations of inter-rater reliability). Agreement on whether dyads acquired the stag was 100% (K = 1.00); whether individuals went for stag 99% (K = 0.96); 218 219 communication at hare 96% (K = 0.91); communication after leaving hare 88% (K = 0.71); monitoring at hare was 90% (K = 0.77); monitoring after leaving hare was 85% (K = 0.62). 220 221 222 Results All of the following analyses (including analysis for Experiments 2a and 2b) used 223 224 Generalized Linear Mixed Models (GLMM; [22]), with significant set at p < 0.05. See ESM for details of model construction and results. 225 Children overwhelmingly decided to go for stag, with no effect of condition (no-226

barrier condition: 96.7% of decisions; barrier condition: 98.3%;  $\chi^2$ =3.284, df= 4, p= 0.51,

228 *N*=240). This corresponded to high levels of successful coordination in both conditions 229 (no-barrier condition: 95.0% trials; barrier condition: 96.7%;  $\chi^2$ =2.27, *df*= 3, *p*=0.52, 230 *N*=120).

These high levels of coordination were achieved with very little verbal communication prior to making their decisions (i.e. before leaving hare), with no effect of condition or order (no-barrier condition: 7.5% trials; barrier condition: 4.2%;  $\chi^2 = 5.81$ , df = 7, p = 0.56, N = 240). Most verbal communication occurred after leaving hare, when children were running for the stag or waiting for their partners to join them, and also did not differ between conditions (no-barrier condition: 32.8% trials; barrier condition: 38.1%;  $\chi^2 = 4.26, df = 3, p = 0.23, N = 234$ ).

Visual monitoring of the partner showed a pattern similar to that of verbal communication. There was some monitoring while individuals were still at hare, with no effect of condition (no-barrier condition: 13.3% trials; barrier condition: 11.7%;  $\chi^2=2.63$ , df=3, p=0.45, N=240); but there was more after leaving the hare, again with no effect of condition (no-barrier condition: 72.4% trials; barrier condition: 66.9%;  $\chi^2=6.821$ , df=4, p=0.146, N=234).

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### Discussion

Four year-old children achieved high rates of successful coordination, directly 246 247 comparable to those of the chimpanzees in Bullinger et al. [16], with coordination in both species at or above 90% of the trials. Communication and monitoring also showed a 248 largely similar pattern between species: like the chimpanzees, the children in our study 249 produced little pre-decision communication and monitoring, especially compared to their 250 post-decision production. Thus, under these particular conditions, the coordination of 251 252 young children - and the strategies they used to achieve this - appears quite similar to that seen in chimpanzees under similar conditions. 253

These results are consistent with children also using a 'leader-follower strategy'. They could use this strategy in the current experimental set-up because (i) leaders could

rely on followers hearing their hare door closing and see them once they reached the stag, and (ii) the hare was of such low value that leaving it was no real risk.

258

Alternatively, the value of the hare was not high enough for its loss in a failed 258 coordination attempt to present a real cost. However, children were motivated to collect 259 the hare when there was no partner available, indicating that the rewards were of some 260 value to them. A richer alternative is that children assumed mutual knowledge of the stag. 261 The stag was accompanied by auditory signals, the salience of which might have been 262 263 enough for children to not only assume that their partner was also aware of the stag but 264 was making the same assumptions of them. Thus, if the cues were removed, we would 265 expect the children to use other means to actively establish mutual knowledge of the stag.

To test these potential explanations Experiments 2a and 2b presented chimpanzees 266 and children with a modified version of the Stag Hunt game used in Experiment 1 and 267 268 Bullinger et al. (2011). We increased the value of the hare, which increased the risk of pursuing the stag. We also eliminated auditory cues associated with the stag and visual 269 270 cues (by extending the barrier all the way up to the stag), which meant that the leaderfollower strategy was no longer viable (without something like blind faith). Under these 271 conditions, if either species attempted to continue using a leader-follower strategy, we 272 273 would expect them mostly to fail – unless they compensated with some pre-decision communication. 274

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#### **Material and Methods**

**Experiment 2a: High Risk Stag Hunt with Chimpanzees** 

280 *Subjects* 

Ten chimpanzees (*Pan troglodytes*) (eight of which took part in Bullinger et al.);
four males and six females were included in the final sample (mean age=20.1 years, range:
7 -35 years, see ESM for full subject table). Three additional subjects did not complete the

training or pre-tests and thus did not take part in the test trials. Subjects could choose to
stop participating at any time. They were never food deprived and water was available ad
libitum throughout testing. Animal husbandry and research comply with the EAZA
Minimum Standards for the Accommodation and Care of Animals in Zoos and Aquaria
and the WAZA Ethical Guidelines for the Conduct of Research on Animals by Zoos and
Aquarium.

290

291 *Materials* 

292 The materials were adapted from Bullinger et al. [16] and had the same properties 293 as in Experiment 1, with minor exceptions. First, the hare boxes contained a drinking 294 bottle with a weak mixture of fruit syrup and water. Secondly, the stag apparatus consisted of a platform and rope spanning a booth between two cages. When two individuals pulled 295 296 simultaneously on ends of the rope, the platform lifted and the stag rewards (6cm of 297 banana for each) became accessible. In Bullinger et al. sounds cued the arrival of the stag 298 and the time it was available; these cues were removed and a sound that mimicked the closing of hare box door played throughout pre-tests and test trials. Thus this cue could not 299 be used as a reliable indicator of their partner's decision. Finally, the barrier (several 300 301 plastic panels) was positioned so that dyads could see each other only when they were both at the stag apparatus. 302

Subjects were tested in two adjacent cages (8.05m<sup>2</sup> and 6.75 m<sup>2</sup>) separated by wire mesh. Each had a hare box in their own cage and the stag apparatus was situated between the two cages, at the opposite end to the hares (see Figure 2).

306

307 *Design and Procedure* 

Subjects were divided into two groups of five; balanced for sex and age and to maximise the number of dyads that were not previously paired together in Bullinger et al. (see subject table in ESM for details). Individuals were paired with all other members of the group (10 pairs per group) in a within subjects design with two conditions. In the *no*-

*barrier condition* subjects were able to visually monitor their partner throughout the trial. In the *barrier condition* the barrier was placed such that subjects had no visual access to their partners (and thus their partner's decisions) until both were already at stag. Each pair had 12 trials per condition, conducted across 2-3 testing days. Each subject completed one condition with all of their partners before moving on to the next condition (order counterbalanced across groups).

318 **Training and pre-tests.** As in Experiment 1 and Bullinger et al., subjects 319 completed several training phases and pre-tests (see ESM for full details of all training 320 phases and attainment). After training, subjects were also given social and non-social pre-321 *tests* (though in this case E1 was replaced by a conspecific stooge) before each new testing 322 session. A set of pre-tests consisted of one social and one non-social pre-test trial. Subjects could only participate in test trials if they responded correctly to both trial types in a set. If 323 324 they did so in either the first or the second set, subjects could go on to test. If not, a third set was presented as training and testing was discontinued for that day. Subjects were 325 326 given another opportunity to pass pre-test in the following session.

Test trials. Test trials were the first time subjects were paired together. The trial started when both subjects opened their own hare boxes, and the stag reward was placed on the stag apparatus. The trial ended when the stag was retrieved. If the stag was not retrieved within 20 seconds, E1 pulled a rope from outside the room to cause the stag to fall out of reach of the subjects and end the trial. If a subject was still at hare at this point they were allowed to finish the contents.

333

334 Coding

The coding scheme was identical to that used in Exp. 1 with the following modifications: communication was defined as gestural attention-getters (e.g. clapping) and vocalisations. Visual monitoring includes 'checking back' from the hare to either the stag, or their partner's cage.

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Reliability. A second coder, unaware of the hypotheses coded one randomly

selected trial per condition per dyad (8.33% of total trials). Agreement on whether dyads 340 acquired the stag, and whether they left hare before the stag disappeared was 100% (K341 =1.00); checking back at hare was 91% (K =0.81); there was also 100% agreement that 342 there was no communication at hare (K = 1.00), and 98% (K = 0.97) on communication 343 344 after leaving hare. 345 346 Results 347 We directly compared the behaviour of the chimpanzees in Experiment 2a with 348 349 their behaviour in Bullinger et al. ([16], hereafter the low risk game). Our main aims were to compare how likely individuals were to take the risk to go for stag on a given trial; how 350 well dyads coordinated their decisions; and the role of communication and visual 351 352 monitoring in these interactions. Subjects responded to the game modifications in the high risk game by being less 353

354 likely to leave hare than in the low risk game (low risk: 94.3% of decisions, high risk: 355 69%), though this reduction was greatest in the barrier condition, when this was experienced after the no-barrier condition (3-way interaction between game, condition and 356 357 condition order;  $\chi^2$ =5.79, df=1, p=0.02, N=1536). The reduced likelihood of going for stag in the high risk game was reflected in a reduction in successful coordination (low risk: 358 359 91% both leaving hare, high risk: 53%; see Figure 3; 3-way interaction between game, condition and condition order  $\chi^2$ =6.63, df=1, p=0.01, N=768). Additionally, leaving have 360 and coordination were both more likely in later trials (leaving hare:  $\chi^2 = 8.02$ , df=1, p<0.01, 361 362 N=1536; coordination:  $\gamma^2=5.84$ , df=1, p=0.02, N=768). The reduction in successful coordination on stag in the high risk game could have 363 364 been due to both individuals coordinating on hare, the safe, risk dominant option. However, even when we consider coordination failures to be trials in which one individual 365 366 stayed at hare while their partner went for the stag (and therefore successful coordination

to include trials in which both individuals stayed at hare or both went for stag),

368 coordination failures were generally more likely in the high risk game (low risk game: 369 6.6% trials, high risk game: 31.7%; except in the no-barrier trials for the no-barrier first 370 group, they were equally likely to fail to coordinate in the low and high risk games; 3-way 371 interaction between game, condition and order:  $\chi^2$ =5.82, *df*=1, *p*=0.02, *N*= 768). This 372 supports our conclusion that pairs were worse at coordinating decisions in high risk 373 contexts.

The overall pattern of communication did not differ between the low and high risk games. That is, there was no pre-decision communication in either game. However, in both games subjects did occasionally communicate while they were at stag waiting for their partner (low risk: on 7.9% of trials the leader communicated; high risk: 11.5%; no significant difference between games; see Figure 4); with leaders being more likely to communicate the longer they waited ( $\chi^2$ =34.96, *df*=1, *p*<0.01, *N*=686).

380 While subjects did not communicate at hare, they did visually monitor the situation ('check back' from their hare to either the stag or their partner's cage). They did so more 381 often in the high risk game (31.7% of trials) than low risk game (5.7% of trials;  $\chi^2$ =4.79, 382 df=1, p<0.01, N=1536). However, subjects were no more likely to check back when they 383 could get information about their partner (no-barrier condition) as when only information 384 385 about the presence of the stag was available (barrier condition), suggesting that increased checking may not have been motivated by coordination with a partner but monitoring the 386 387 presence of the stag.

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## Discussion

These results suggest that chimpanzees did not adapt their coordination strategy to the new situation. As in the Bullinger et al. study, communication was rare overall (at the highest: 11% trials in the high risk game); did not differ in frequency between the two risk contexts; and never occurred pre-decision. This indicates that chimpanzees' use of communication for coordination purposes may be fairly inflexible and restricted to attempting to get the attention of their partners post-decision, once they have already made

396	their decision for the stag and time is running out. Bullinger et al. argued that a leader-
397	follower strategy could best account for their findings. This account predicted
398	coordination failure in the barrier condition of the high risk game. This was supported to a
399	certain extent: a reduction in the barrier condition for those individuals that started with
400	the no-barrier condition suggests that at least they may have been using a leader-follower
401	strategy. Alternatively, subjects could have based their decisions on the predicted
402	likelihood of a partner to go for stag (e.g. by projecting their own preferences as in [23])
403	and thus the likelihood of success. This is consistent with sensitivity to risk in group
404	hunting frequency observed in the wild: males are more likely to hunt during times of fruit
405	abundance (reduced loss in unsuccessful attempts; [24-26]).
406	In sum, rather than mediating risk through pre-decision communication,
407	chimpanzees responded by adjusting their likelihood to cooperate. In Experiment 2b we
408	examine how young children respond to our high-risk Stag Hunt game.
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410	Experiment 2b: High Risk Stag Hunt with Children
410 411	Experiment 2b: High Risk Stag Hunt with Children
	Experiment 2b: High Risk Stag Hunt with Children Material and Methods
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411 412	Material and Methods
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424 arrival of the stag the tray in the stag box started at the midway point and the gummibears 425 were now inside red plastic balls, released by E3 via tubes at the back of the box. Also, the 426 auditory cue indicating the approaching removal of the stag was removed. The length of 427 the barrier was extended so that there was no visual access to their partner until both were 428 at stag. Finally, music was played during pre-test and test trials to disguise the sound of 429 the stag arriving, the closing of the hare box doors and children's movements.

430

## 431 *Procedure*

The design and procedure was the same as the low risk game with the following 432 433 modifications. First, some of the training trials were replaced with demonstrations by 434 experimenters to reduce the number of trials. The pre-test structure was adjusted to more closely follow the procedure for the chimpanzees: children had blocks of up to three social 435 436 or non-social practice pre-test trials before the actual pre-tests and were then presented with two sets of one social and one non-social pre-test. If they responded correctly to both 437 438 trial types within either set they could go on to test. However, if children did not pass, they were given one more set of "instructed" pre-tests in which E1 indicated what the best 439 solution to the trial was. In the social pre-test: "The gummibears are here. Come and pull 440 441 with me." In the non-social pre-test: "I have to answer my phone. Stay at the chocopuffs until I get back." If they responded correctly to these trials they moved on to test (see ESM 442 443 for full details).

444

## 445 Coding

Coding was identical to Experiment 1, except that communication was divided into
two categories: (1) *Attention-getters*: verbalisations that get their partner's attention but do
not refer to objects or actions in the game (e.g. calling their partner's name); and (2) *Content:* verbalisations that referred to objects in the game (e.g. red balls, gummibears,
chocopops, rope), or to actions in the game (e.g. pulling, running, looking for
gummibears). Content communication was further subdivided into: *imperatives*

(instructions for their partner to perform an action related to the game, e.g. "come, pull
with me"); *informatives* (reports to their partner about objects in the game or players'
actions in the game, e.g. "the balls are there"). Each occurrence of communication was
coded for the type of communication hierarchically: content communication and attentiongetters in the same phase was coded as content. Within the content category imperatives
were prioritised over informatives.

458 **Reliability**. A second coder, unaware of the hypotheses coded one randomly 459 selected trial per condition per dyad (33% of total trials). Agreement on whether dyads 460 acquired the stag was 100% (K = 1.00); whether individuals went for stag 97% (K = 0.94); 461 communication at hare 94% (K = 0.85); communication after leaving hare 92% (K = 0.81); monitoring at hare was 95% (K = 0.89); monitoring after leaving hare was 89% (K = 0.74); 462 type of communication 91% (K = 0.84). 463 464 465 466 **Results** As with the chimpanzees, we directly compared the behaviour of children in the 467 low risk and high risk games (Exp. 1 and 2a). 468 469 Children continued to leave hare at a high rate in the high risk game, despite the 470 changes in risk. Individuals left hare on 92.0 % trials in the no-barrier condition (low risk: 471 96.7%, high risk: 88.2%) and 92.8% trials in the barrier condition (low risk: 98.3%, high risk: 88.2%), with no effects of game, condition or order ( $\chi^2=14.05$ , df=8, p=0.08, N=528). 472 Again, this resulted in high levels of coordination: 89.4% trials in the no-barrier condition 473 474 (low risk: 95.0%, high risk: 84.7%, see Figure 1) and 90.2% trials in the barrier condition (low risk: 96.7%, high risk: 84.7%;  $\chi^2 = 12.87$ , df = 8, p = 0.12, N = 264). 475 476 Children responded to the increased risk of coordination failure by increasing their verbal communication prior to leaving the hare in the high risk game (low risk: 5.8% 477

478 individual trials; high risk: 26%;  $\chi^2$ =24.70, *df*=1, *p*<0.01, *N*=528). Strikingly, if we

479 consider communication in terms of whether at least one of the partners communicated

while both were still at hare (i.e. trials in which partners communicated before either of them had made their decisions), communication occurred in 47.9% of trials in the high risk game compared to 4.2% of trials in the low risk game (main effect of game:  $(\chi^2=60.50, df=1, p<.01, N=264, no effect of condition or order; see Figure 4)$ . In the high risk game most pairs (87.5%) communicated prior to leaving hare on at least one out of six trials, compared to 15.0% in the low risk game.

486 Children were most likely to communicate after leaving the hare in the riskiest 487 trials: barrier trials in the high risk game. However, this was specific to when the barrier 488 condition came first such that the pairs had little experience cooperating with each other 489 (3-way-interaction between game, condition and order:  $\chi^2$ =6.74, *df*=1, *p*=0.01, *N*=488).

Analysis of the type of verbal communication indicates how children were using it 490 to reduce risk. Content communication was generally more prevalent than attention-getters 491 492 (at hare: 80.7% trials with content communication, after leaving hare: 89.4%) and did not differ between high and low risk games, phase or condition ( $\chi^2=12.90$ , df=8, p=0.12, 493 494 N=271). However, when we looked more closely at the type of content communication we found that informatives (typically "the balls are there!") were employed most frequently in 495 pre-decision communication, particulally in the high risk game (68.7% of trials with 496 497 content communication were informatives; in the low risk game only eight trials contained content communication and 50% of those were informatives. In contrast, imperatives 498 499 (such as "Hurry up!") were generally used after leaving hare in both games (low risk: 500 90.0% of trials with content communication after leaving hare were imperatives, and high risk: 68%; main effect of phase  $\chi^2$ =29.96, df=1, p<0.01, N=235). Children were also more 501 502 likely to use informatives in the high risk game and the barrier condition, consistent with 503 the hypothesis that they are using informatives to reduce risk when coordination is more 504 challenging (main effects of game:  $\chi^2 = 8.02$ , df = 1, p < 0.01 and condition:  $\chi^2 = 5.26$ , df = 1, p = 0.02). 505

506 There was no possibility of visually monitoring a partner in the barrier condition in 507 the high risk game while at hare, and reduced possibility after leaving hare due to the

508	extended barrier. Thus, we only compared monitoring in the no-barrier condition. The
509	pattern of pre-decision visual monitoring of their partners reflected that found for verbal
510	communication: increasing in the high risk game relative to the low risk game (low risk:
511	13.3% trials, high risk: 29.1%; ( $\chi^2$ =6.52, df=1, p=0.01, N=264) and also decreasing across
512	trials ( $\chi^2$ =7.33, <i>df</i> =1, <i>p</i> =0.01). There was a decrease in post-decision visual monitoring in
513	the high risk game (perhaps because it was unnecessary in addition to the verbal
514	communication and pre-decision monitoring; low risk: 72.4% trials, high risk: 38.6%
515	trials; $\chi^2 = 21.69$ , $df = 1$ , $p < 0.01$ , $N = 243$ ).
516	
517	Discussion
518	Increasing the difficulty of coordination in the high risk game had no effect on
519	children's ability to achieve successful coordination, with children coordinating in more
520	than 90% of trials in both the high and low risk versions. What differed was how they
521	achieved coordination: in high risk conditions they increased their frequency of
522	communication and visual monitoring before taking the risk of going for the stag, with
523	verbal communication replacing much visual monitoring. The verbal communication was
524	appropriate to the situation, as children's pre-decision communication was predominantly
524 525	appropriate to the situation, as children's pre-decision communication was predominantly informative (e.g. "The gummibears are here.") – ensuring mutual knowledge of the stag's
525	informative (e.g. "The gummibears are here.") – ensuring mutual knowledge of the stag's
525 526	informative (e.g. "The gummibears are here.") – ensuring mutual knowledge of the stag's presence - whilst their post-decision communication was predominantly imperative (e.g.
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525 526 527 528 529 530 531	informative (e.g. "The gummibears are here.") – ensuring mutual knowledge of the stag's presence - whilst their post-decision communication was predominantly imperative (e.g. "Quick!"). Together these differences suggest a coordination strategy different from the cognitively simpler strategies such as the leader-follower: actively establishing mutual knowledge between partners in order to mediate the risk associated with coordination. <b>General Discussion</b>

children were both highly successful with minimal communication. Under conditions of

high risk, however, the two species used different strategies. When chimpanzees were 536 confronted with a more challenging Stag Hunt scenario in which the potential losses were 537 higher and there were less cues to the appearance of the stag and a partner's decision, they 538 were much less successful at coordinating, suggesting that there are limits to their 539 coordination strategy. Individuals occasionally communicated while waiting for a partner 540 at stag, but not prior to leaving hare. In contrast, children's coordination success remained 541 high in the high risk game, and there was a marked increase in communication preceding 542 543 their decision to leave hare. Children adjusted their strategy by using communication to 544 establish mutual knowledge of the presence of the stag (e.g. by informing their partner 545 "the balls are here!") and thus reducing the risk associated with leaving the hare to coordinate. 546

In comparison to Brosnan et al. [10, 11] we found humans, in this case 4 year olds, 547 to be highly effective coordinators. This difference may be in large part due to differences 548 in methodology. As the main aims of the current paper and Bullinger et al. [16] were to 549 550 examine if and how the two species coordinated their decisions in the context of a Stag Hunt game, it was essential that the participants were aware of the contingencies of the 551 game, particularly that their rewards were dependent upon the decision of their partner. In 552 553 Brosnan et al. participants were not informed of the game structure, nor could it be inferred from the physical affordances of the task, as in the present study. Therefor it is 554 555 unclear to what extent the level of coordination in the Brosnan et al. studies is due to a lack of understanding of the pay-off structure. 556

557 Our results are consistent with what is already understand about children's abilities 558 to coordinate their actions: from 18 months can coordinate very simple actions in a 559 cooperative activity with an adult or peer [27] [28]. Here we have shown that 4 year-olds 560 are able to coordinate decisions, as well as actions, to cooperate successfully with a peer in 561 a more complex coordination problem.

We have also extended findings on the role of communication in children'scoordination. In Wyman et al. [20] non-verbal pre-decision communication from an adult

experimenter (eye contact) influenced their decision making in the Stag Hunt game. We
show that by 4 years children are able to initiate appropriate communication to solve a
coordination problem with a peer. Similarly, Warneken et al. [29] found that
communication predicted correct choices in a collaborative planning task with 3 and 5
year olds.

Several experimental studies have shown that dyads of chimpanzees can 569 570 coordinate their actions to acquire a reward (e.g. [12, 14, 30]). In addition to this, the 571 results from Bullinger et al. [16] and the current study show that they can successfully coordinate their decisions to forsake a safe, low value reward to acquire a higher value 572 573 reward, although this is more limited in the high risk game. The pattern of communication seen here also reflects that of previous findings: when required to coordinate their actions 574 to cooperate with conspecifics little communication is reported, even when the task 575 576 demanded negotiation of conflicting preferences [15]. There is also little evidence from the wild that chimpanzees coordinate their decisions prior to the start of a hunt, though 577 578 there is some recent evidence that chimpanzees vocalize to coordinate joint travel [31]. Although these calls ('travel hoos') did occur prior to the onset of travel movement, these 579 calls could be interpreted as requests for travel companions by individuals who have 580 581 already decided to travel (since callers continue to travel even if the recruitment has been unsuccessful) and thus, as more similar to the post-decision attention-getters, rather than 582 583 the pre-decision communication exhibited by the children.

The Interdependence Hypothesis of the evolution of human cooperation suggests 584 that humans have unique cognitive skills for mutualistic cooperation, an important set of 585 586 which concern cooperative communication, and further that the selective context for uniquely human skills of cooperation was social coordination in something like Stag Hunt 587 588 foraging contexts [5, 32]. In the current studies these unique abilities did not manifest themselves in the low risk game: both chimpanzees and children were successful 589 coordinators. However, when the costs of coordination failure increased and the 590 591 information available about a partner's decision were reduced, the strategies available to

- 592 chimpanzees limited their ability to coordinate; but children simply began communicating
- to the partner to establish mutual knowledge. This pattern of results is thus consistent with
- the hypothesis that humans evolved unique skills of coordination and communication in
- the context of especially risky coordination problems.

598	
599	Acknowledgements
600	We thank R.Piesek and M.Ulrich for building the apparatuses; J.Jurkat, E. Rossi,
601	I.de Gaillande-Mustoe, E.Klonowski and the students at the WKPRC for help with
602	recruiting children and data collection; T.Malmovoelgyi, S.Hunger and S.Peoples for
603	reliability coding; and R.Mundry for statistical advice. Thank you to N.Raihani and two
604	anonymous reviewers for their helpful comments on a previous draft. Finally we thank the
605	kindergartens, parents, children, chimpanzees and the animal caretakers for their
606	cooperation.
607	
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- *Figure 1:* The set-up for the children (Exp. 1 and 2b). Both children start at their hare
- boxes (top left and right), and then stag reward (red balls) becomes available. The barrier
- 696 (between the two children) is present only in the barrier condition.

- 698 *Figure 2:* Test trial set-up for the chimpanzees (Exp. 2a) in the no-barrier condition. Each
- 699 individual has their own hare box (top left and right). The stag reward is then placed
- 700 between the two cages.

- *Figure 3*: The mean likelihood to successfully coordinate for chimpanzees (left) and
- children (right) in the low and high risk games with 95% confidence intervals.

# COORDINATION STRATEGIES IN A STAG HUNT

- *Figure 4*: The mean likelihood for communicate to occur before either partner left hare
- 706 (upper panels), and after at least one partner has gone for the stag (lower panels) for
- chimpanzees (left panels) and children (right panels) in the low and high risk games (with
- 708 95% confidence intervals).